



CLIENT REGISTRATION FORM

**EQUITY,
COMMODITY & DEMAT**



Client Name
DPID : 12082000 Client ID
CLIENT CODE
Date of Registration

Asnani Stock Broker Pvt. Ltd.

REGISTRATION DETAILS

EQUITY CASH & FNO SEGMENT	NSE : SEBI Regn. No : INZ 000190431 - MEMBER ID : 90087 BSE : SEBI Regn. No : INZ 000190431 - MEMBER ID : 6214	
COMMODITY DERIVATIVES SEGMENT	MCX : SEBI Regn. No : INZ 000190431 Member ID : 8220 NCDEX : SEBI Regn. No : INZ 000190431 Member ID : 00922	
DEPOSITORY PARTICIPANT	SEBI Regn. No : IN-DP-122-2015 CDSL-DP-ID : 12082000	
CLEARING MEMBER		
SMC Global Securities Ltd. (INZ000199438)	NSE FNO, BSE FNO	MCX Commodity, NCDEX Commodity

CIN Number: U67120RJ2009PTC029006			
For any grievance/dispute please contact at the given registered address or following officer			
Designation	Name	Telephone	EMAIL ID
Director	Kamal Asnani	01472-244973	kamal@asnanionline.com
Compliance Officer	Vinod Asnani	01472-246343	vinod@asnanionline.com
Grievances/Dispute/Suggestion			asnani@asnanionline.com
In case not satisfied with the response, please contact the exchange			
NSE at ignse@nse.co.in and Phone no. 022-26598190 Fax No.:022-26598191.		MCX at grievance@mcxindia.com Tel: 022 6731 8888	
BSE at: is@bseindia.com ,Phone No.:022-22728097, Fax No.:022-22723677		NCDEX at askus@ncdex.com Tel : (+91-22) - 6640 6084,	
MSEI at: investorcomplaints@msei.in , Phone No.:022- 61129000		ICEX at grievance@icexindia.com Tel: (+91-22) - 3084 9700	
CDSL : complaints@cdslindia.com , phone no.22723333, Fax No.: 022-22723199		NSDL at relations@nsdl.co.in Tel: 1800-222-990	

You can also lodge your grievances with SEBI at <http://scores.gov.in>. For any Queries or Feedback or Assistance please contact SEBI on toll free helpline at 1800227575/18002667575

INSTRUCTIONS / CHECK LIST

1. Additional documents in case of trading in derivatives segments - illustrative list:	
Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self - declaration with relevant supporting documents.

- *In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.
- Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
 - Demat master or recent holding statement issued by DP bearing name of the client.
 - For individuals:
 - Stock broker has an option of doing 'in-person' verification at the branch office of the stock broker/sub-broker's office.
 - In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.
 - For non-individuals:
 - Form need to be initialized by all the authorized signatories.
 - Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.

Instructions to the Applicants (BOs) for account opening:

- Signatures can be in English or Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate / Special Executive Officer under his/her official seal.
- Signatures should be preferably in black ink.
- Details of the Names, Address, Telephone Number(s), etc., of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of attestation done by them.
- In case of additional signatures (for accounts other than individuals), separate annexures should be attached to the account opening form.
- In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the application.
- All correspondence / queries shall be addressed to the first / sole applicant.
- Strike off whichever option, in the account opening form, is not applicable.

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MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

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2.	Rights & Obligations	Documents stating the Rights & Obligation of stock broker/trading member/sub-broker and client for the trading on exchanges including additional rights & obligation in case of Internet/Wireless technology based trading.	Provided as a separate booklet to be retained by client
3.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in securities/ commodities market.	
4.	Guidance Note	Document detailing DO's and DON'T's for trading on exchange, for the education of the investors.	
5.	Rights & Obligations (DP)	Documents stating the Rights & Obligation of Beneficial Owner and Depository Participant	
6.	Policies and Procedures	Document describing significant policies and procedures of the stock broker.	
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER PART II			
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CKYC & KYC KRA FORM



Know Your Client Application Form (For Individuals only)

(Please fill the form in English and in BLOCK Letters)
Fields marked with "*" are mandatory fields

Application Type* New Update KYC Number*
KYC Type* Normal (PAN is mandatory) PAN Exempt Investors (Refer instruction K)

1. Identity Details (Please refer instruction A at the end)

PAN Please enclose a duly attested copy of your PAN Card

Name* (same as ID proof) Prefix First Name Middle Name Last Name

Maiden Name (if any*)

Father / Spouse Name*

Mother Name*

Date of Birth*

Gender* M- Male F- Female T-Trans gender

Marital Status* Married Unmarried Others

Citizenship* IN- Indian Others – Country _____ Country Code

Residential Status* Resident Individual Non Resident Indian
 Foreign National Person of Indian Origin

Occupation Type* S-Service Private Sector Public Sector Government Sector
 O-Others Professional Self Employed Retired Housewife Student
 B-Business X-Not Categorized

Photo

Signature
Thumb Impression

(1)

2. Proof of Identity (PoI)* (for PAN exempt Investor or if PAN card copy not provided) (Please refer instruction C & K at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

A- Passport Number Passport Expiry Date

B- Voter ID Card

C- PAN Card

D- Driving Licence Driving Licence Expiry Date

E- Aadhaar Card / Virtual ID

F- NREGA Job Card

Z- Others (any document notified by the central government) Identification Number

S- Simplified Measures Account - Document Type Code Identification Number

3. Proof of Address (PoA)*

3.1 Current / Permanent / Overseas Address Details (Please see instruction D at the end)

Address

Line 1*

Line 2

Line 3 City / Town / Village*

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT* Country* Country Code as per ISO 3166

Address Type* Residential / Business Residential Business Registered Office Unspecified

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Proof of Address*

Passport Number Passport Expiry Date

Voter ID Card

Driving Licence Driving Licence Expiry Date

Aadhaar Card / Virtual ID

NREGA Job Card

Others (any document notified by the central government) Identification Number

3.2 Correspondence / Local Address Details* (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1', Submit relevant documentary proof)

Line 1*

Line 2

Line 3 City / Town / Village*

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT* Country* Country Code as per ISO 3166

4. Contact Details (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Email ID
 Mobile Tel. (Off) Tel. (Res)

5. FATCA/CRS Information PART I (Tick if Applicable) Residence for Tax Purposes in Jurisdiction(s) Outside India (Please refer instruction B at the end)

Additional Details Required* (Mandatory only if above option (5) is ticked)
 Country of Jurisdiction of Residence* Country Code of Jurisdiction of Residence as per ISO 3166
 Tax Identification Number or equivalent (If issued by jurisdiction)*
 TIN issued country Date of Birth
 Place / City of Birth* Country Code as per ISO 3166
 US person YES OR NO Country of Birth*
 Address
 Line 1*
 Line 2
 Line 3 City / Town / Village*
 District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988
 State/UT* Country* Country Code as per ISO 3166

6. Details of Related Person (Optional) (please refer instruction G at the end) (In case of additional related persons, please fill 'Annexure B1')

Related Person Deletion of Related Person KYC Number of Related Person (if available*)
 Related Person Type* Guardian of Minor Assignee Authorized Representative
 Name* Prefix First Name Middle Name Last Name
 (If KYC number and name are provided, below details of section 6 are optional)

Proof of Identity [PoI] of Related Person* (Please see instruction (H) at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

A- Passport Number Passport Expiry Date
 B- Voter ID Card
 C- PAN Card
 D- Driving Licence Driving Licence Expiry Date
 E- Aadhaar Card / Virtual ID
 F- NREGA Job Card
 Z- Others (any document notified by the central government) Identification Number
 S- Simplified Measures Account - Document Type Code Identification Number

7. Remarks (If any)

8. Applicant Declaration

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date: Place: (2)

[Signature / Thumb Impression]
 Signature / Thumb Impression of Applicant

9. Attestation / For Office Use Only

Documents Received Certified Copies

In-Person Verification (IPV) & KYC Verification Carried Out by (Refer Instruction J&I)
 Date
 Emp. Name
 Emp. Code
 Emp. Designation
 [Employee Signature]

Institution Details
 Name **ASNANI STOCK BROKER PRIVATE LIMITED**
 Code **I N I I 9 I**



Instructions/Guidelines for filling Individual KYC Application Form

General Instructions:

1. Self-Certification of documents is mandatory.
2. KYC number of applicant is mandatory for update/change of KYC details.
3. For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.
4. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the list mentioned under [I].
5. If any proof of identity or address is in a foreign language, then translation into English is required.
6. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
7. If correspondence & permanent addresses are different, then proofs for both have to be submitted.
8. Sole proprietor must make the application in his individual name & capacity.
9. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
10. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
11. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/ Passport of Minor/Birth Certificate must be provided.

A. Clarification / Guidelines on filling 'Identity Details' section

1. Name: Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
2. Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.

B. Clarification/Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India

1. Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/ insurance number, citizen/personal identification/services code/number, and resident registration number)

C. Clarification / Guidelines on filling 'Proof of Identity [PoI]' section, if PAN Card copy is not enclosed/For PAN exempt Investors

1. If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
2. Mention identification / reference number if 'Z - Others (any document notified by the central government)' is ticked.
3. Others - Identity card with applicant's photograph issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.
4. Letter issued by a gazetted officer, with a duly attested photograph of the person.

D. Clarification / Guidelines on filling 'Proof of Address [PoA] section

1. PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
2. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
3. Others includes - Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill); Bank account or Post Office savings bank account statement; Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India; Identity card with applicant's photograph and address issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal Tax receipt.
03	Bank account or Post Office savings bank account statement.
04	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
05	Letter of allotment of accommodation from employer issued by State or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies. Similarly, leave and license agreements with such employers allotting official accommodation.
06	Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India.

E. Clarification / Guidelines on filling 'Proof of Address [PoA] - Correspondence / Local Address details' section

1. To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
2. In case of multiple correspondence / local addresses, Please fill 'Annexure A1'
3. Others includes - Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill); Bank account or Post Office savings bank account statement; Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India; Identity card with applicant's photograph and address issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.

F. Clarification / Guidelines on filling 'Contact details' section

1. Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
2. Do not add '0' in the beginning of Mobile number.

G. Clarification / Guidelines on filling 'Related Person details' section

1. Provide KYC number of related person if available.

H. Clarification / Guidelines on filling 'Related Person details - Proof of Identity [PoI] of Related Person' section

1. Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

I. List of people authorized to attest the documents after verification with the originals:

1. Authorised officials of Asset Management Companies (AMC).
2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
3. KYD compliant mutual fund distributors.
4. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
5. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/ Consulate General in the country where the client resides are permitted to attest the documents.
6. Government authorised officials who are empowered to issue Apostille Certificates.

**Know Your Client (KYC)
Application Form (For Individuals Only)**

NEW CHANGE REQUEST (please tick ✓ the appropriate)
(Please tick ✓ the box on the left margin of appropriate row where CHANGE/
CORRECTION is required and provide the details in the corresponding row)

Acknowledge No. _____

ASNANI STOCK BROKER PRIVATE LIMITED

Reg. & Corp Office: 103, Sindhi Colony, Pratap
Nagar, Chittorgarh - 312001 Rajasthan

Please fill in ENGLISH and in BLOCK LETTERS

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in support identification document),

Name

Father's/Spouse Name

PHOTOGRAPH

Please affix
the recent passport
size photograph and
sign across it

2. Gender Male Female B. Marital Status Single Married C. Date of Birth

3. Nationality Indian Other

4. Status Please tick(✓) Resident Individual Non Resident Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN

 Please enclose a duly attested copy of your PAN Card

Addhaar Number, if any : _____

6. Proof of Identity submitted for PAN exempt cases Please Tick (✓)

UID (Aadhaar) Passport Voter ID Driving Licence Others

(Please See guideline "D" overleaf)

B. Address Details (please see guidelines overleaf)

1. Address for Correspondence

City/Town/Village	Pin Code
State	Country

2. Contact Details

Tel. (Off.)	Tel. (Res.)
Mobile	Fax
E-Mail Id.	

3. Proof of address to be provided by Applicant. Please Submit ANY ONE of the following Valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving Licence Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please Specify).....

*Not more than 3 Months old. Validity Expiry date of proof of address submitted

4. Permanent Address of Resident Application if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

City/Town/Village	Pin Code
State	Country

5. Procof of address to be provided by Applicant Please submit ANY ONE of the following valid documents & tick(✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving Licence Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please Specify).....

*Not more than 3 Months old. Validity Expiry date of proof of address submitted

6. Any other information: _____

Other Details

1. Gross Annual Income Details (Please tick (✓)) Below 1 Lakh 1-5 Lakhs 5-10 Lakhs 10-25 Lakhs 25-1 Crore > 1 Crore

2. Net-worth in ₹. (*Net worth should not be older than 1 year) _____ as on (date)

 Rs. _____

DECLARATION

Name & Signature

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and i undertake to inform you of any changes therein, immediately, In Case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it.

Place

Date

(3)

FOR OFFICE USE ONLY

Signature	Name of Person who has done IPV	Employee ID	Designation	Date of IPV
IPV Details				

(Originals verified) True copies of documents received

(Self-Attested) Self Certified Document copies received

For **Asnani Stock Broker Pvt. Ltd.**

Authorised Signatory

Date _____

Attention : Please recheck your Email ID and Mobile Number provided by you.



INSTRUCTION / CHECK LIST FORM FILLING KYC FORM

- A. IMPORTANT POINTS:**
1. Self attested copy of PAN card is mandatory for all clients.
 2. Copies of all the documents submitted by the applicant should be self- attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
 3. If any proof of identity or address is in a foreign language, then translation into English is required.
 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
 5. If correspondence & permanent address are different, then proofs for both have to be submitted.
 6. Sole proprietor must make the application in his individual name & capacity.
 7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/P 10Card/OCIC overseas address proof is mandatory.
 8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
 9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
 10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
 11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/military officers , senior executives of state owned corporations, important political party officials, etc.
- B. Proof of Identity (P01): List of documents admissible as Proof of Identity:**
1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
 2. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card / Driving license.
 3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.
- C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)**
1. Passport/Voters Identity Card/Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/Flat Maintenance bill/Insurance Copy.
 2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
 3. Bank Account Statement/Passbook - Not more than 3 months old.
 4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
 5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/ Scheduled Co-Operative Bank / Multinational Foreign Banks /Gazetted Officer/Notary public/ Elected representatives to the Legislative Assembly /Parliament/Documents issued by any Govt. or Statutory Authority.
 6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc.,to their Members.
 7. For FI I/sub account, Power of Attorney given by FI I/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
 8. The proof of address in the name of the spouse may be accepted.
- D. Exemptions/clarifications to PAN ('Sufficient documentary evidence in support of such claims to be collected.)**
1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
 2. Investors residing in the state of Sikkim.
 3. UN entities/multilateral agencies exempt from paying taxes/Income tax returns in India.
 4. SIP of Mutual Funds upto Rs 50,000/- p.a.
 5. In case of institutional clients, namely, FIIs, MFIs, VCFs, FVCI's for all , Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.
- E. List of people authorized to attest the documents:**
1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
 2. In case NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorised for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form. should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. **Sole proprietor must make the application in his individual name & capacity.**
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial / military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI):- List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar) / Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA):- List of documents admissible as Proof of Address:

- (*Documents having an expiry date should be valid on the date of submission.)
1. Passport / Voters Identity Card/Ration Card/Unique Identification Number (UID)/Aadhar

- Letter/Registered lease or Sale Agreement of Residence/Driving License/ Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill -Not more than 3 months old.
 3. Bank Account Statement/Passbook-Not more than 3 months old.
 4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
 5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public / Elected representatives to the Legislative Assembly / Parliament / Documents issued by any Govt. or Statutory Authority.
 6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI. ICSI, Bar Council etc., to their Members.
 7. For Fil/sub account, Power of Attorney given by Fil/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
 8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

- (Sufficient documentary evidence in support of such claims to be collected.)
1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
 2. Investors residing in the state of Sikkim.
 3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
 4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
 5. In case of institutional clients, namely, Flis, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorised to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorised officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

Types of entity	Documentary Requirements
Corporate	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). <input type="checkbox"/> Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. <input type="checkbox"/> Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. <input type="checkbox"/> Copies of the Memorandum and Articles of Association and certificate of incorporation. <input type="checkbox"/> Copy of the Board Resolution for investment in securities market. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Partnership firm	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Certificate of registration (for registered partnership firms only). <input type="checkbox"/> Copy of partnership deed. <input type="checkbox"/> Authorised signatories list with specimen signatures. <input type="checkbox"/> Photograph, POI, POA, PAN of Partners.
Trust	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Certificate of registration (for registered trust only). <input type="checkbox"/> Copy of Trust deed. <input type="checkbox"/> List of trustees certified by managing trustees/CA. <input type="checkbox"/> Photograph, POI, POA, PAN of Trustees.
HUF	<input type="checkbox"/> PAN of HUF. <input type="checkbox"/> Deed of declaration of HUF/ List of coparceners. <input type="checkbox"/> Bank pass-book/bank statement in the name of HUF. <input type="checkbox"/> Photograph, POI, POA, PAN of Karla.
Unincorporated association or a body of individuals	<input type="checkbox"/> Proof of Existence/Constitution document. <input type="checkbox"/> Resolution of the managing body & Power of Attorney granted to transact business on its behalf. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Banks/ Institutional Investors	<input type="checkbox"/> Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<input type="checkbox"/> Copy of SEBI registration certificate. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Army/ Government Bodies	<input type="checkbox"/> Self-certification on letterhead. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Registered Society	<input type="checkbox"/> Copy of Registration Certificate under Societies Registration Act. <input type="checkbox"/> List of Managing Committee members. <input type="checkbox"/> Committee resolution for persons authorised to act as authorised signatories with specimen signatures.

(F). ACCOUNT SETTLEMENT (as per SEBI requirement) once a quarter once a month

Whether you wish to receive trade confirmation, holding and transaction statement margin call, passwords and ECN. through Email / SMS Yes No If yes (E-mail ID and Mobile No. mentioned in KRA will be used) and fill Appendix A & B

Tick () SMS Services : By Choice : YES NO By Exchange : YES NO

If not marked, the default option would be 'Yes'

(G) Goods & Service Tax (GST) Registration details

Trade Name	
Legal Name	
GST Registration Number	
Name of the State	

(H) Income and Other details (Mandatory)

1. Gross Annual Income Details (Please tick (✓) :

Below 1 Lac 1-5 Lac 5-10 Lac 10-25 Lac 25 Lacs - 1 Crore >1 Crore

2. Net-worth in ` (*Net worth should not be older than 1 year) as on (date) / /

3. Occupation (Please tick (✓) any one and give brief details):

Private Sector Service Public Sector Government Service Business Professional
 Agriculturist Retired Housewife Student Forex Dealer Others (Please specify) _____

4. Qualification (Please tick (✓) any one and give brief details):

Under Highschool Highschool Graduate Doctorate Professional Illiterate
 Others (Please specify) _____

5. Please tick, if applicable : Politically Exposed Person (PEP) Related to a Politically Exposed Person (RPEP)
 Not a Politically Exposed Person (NPEP) Not Related to a Politically Exposed Person (NRPEP)

6. Category (1) Farmer/FPO tick (2) Value chain participant (VCP) (4) Domestic financial institutional investor
(5) Foreign participant (6) Others

(I) Internet Trading

Whether you wish to avail of facility of Internet Trading/Wireless Technology (please specify) Yes No

(J) Investment /Trading Experience

NO PRIOR INVESTMENT EXPERIENCE YEARS IN EQUITIES /COMMODITIES
 YEARS IN DERIVATIVES YEARS IN OTHER INVESTMENT RELATED ACTIVITIES

(K) Introducer Details : (Optional)

Introduced by another Client / Employee / Director / Authorised Person / Any Other Person Please Specify _____

NAME OF THE INTRODUCER:

ADDRESS :

PROOF OF IDENTITY : PROOF OF ADDRESS :

CONTACT NO. SIGNATURE OF INTRODUCER

TRADING PREFERENCES OF SECURITIES MARKET

Exchange	Capital Segment	Derivatives Segment
BSE	(5a)	(5b)
NSE	(5c)	(5d)

TRADING PREFERENCES OF COMMODITY MARKET

Exchange	BSE (Commodity)	NSE (Commodity)	MCX	NCDEX
	(5e)	(5f)	(5g)	(5h)

* Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

TARIFF SHEET

Cash Market/ Capital Market

Brokerage	Same day squareoff .. Each Side	Delivery	Trade for trade
Min (P)	3	3	5
Min (%)	0.03 %	0.30 %	0.50 %
Flat Brokerage	Per Trade (In Rs)		Order value in multiples (In Rs.)
Intraday			
Delivery			

F & O / Derivatives Market / Commodity Market

Brokerage	Equity Derivatives	Equity Derivatives	Equity Options (on premium Each Side)		Equity Options (on premium Each Side)	
	Min (Rs)	Min (%)	Min (Rs)	Min (%)	Min Per Lot (Rs)	Min Per Lot (Rs)
Square off Same day ... Each Side						
Square off Another day ... Each Side						

Brokerage	Commodity Derivatives	Commodity Derivatives	Commodity Options (on premium Each Side)		Commodity Options (on premium Each Side)	
	Min (Rs)	Min (%)	Min (Rs)	Min (%)	Min Per Lot (Rs)	Min Per Lot (Rs)
Square off Same day ... Each Side						
Square off Another day ... Each side						

Other Charges

Stamp Duty Yes No OTHER CHARGES STATUTORY COST Yes No
 SEBI Turnover FEE Yes No GST Yes No
 Clearing charges and Yes No STT/CTT Yes No
 Exchange transaction charges Yes No

Note : GST/Turnover Tax /Sales Tax / Statutory Cost / Stamp Duty / CTT will be applicable as per C\Government Norms & the above charges are subject to change a per regulatories authorities.

For office use only

BROKERAGE APPROVAL (if required)

Name of Approving Officer :

Designation :

Branch / Location :

Signature of Client

(6)

Date : / /

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website
4. I / We have noted that you trade in OWN/PRO account as per SEBI/MRD/SE/CIR.- 42/2003 dated November 19, 2003 as mandated by the SEBI and on the Exchange(s).
5. I/We hereby confirm that I/We are aware of the Delayed Payment Charges of the Stock Broker.
6. I/We hereby confirm that in case brokerage per exchange per day is less than Rs.25/- then difference will be levied as minimum contract generation charges provided not exceeding SEBI/Exchange Prescribed limit

Place -----

Date

(7) 

Signature of Client

FOR OFFICE USE ONLY

UCC Code allotted to the Client _____

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For Asnani Stock Broker Pvt. Ltd.



Signature of the Authorised Signatory

Date

RUNNING ACCOUNT AUTHORISATION (VOLUNTARY)

To,

Date:

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Asnani Stock Broker Private Limited

I/We are dealing through you as a client in Security Market and /or Future & Option segment and /or Currency segment and/or Interest Rate Futures Segment or Commodity Derivative & in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you to

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin /pay-in/future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/we instruct you otherwise.
2. I/We request you to settle my fund, once in every calendar Quarter or once in a calendar Month, subject to gap of maximum 90/30 days (as per the choice viz. Quarterly/Monthly) between two running account settlements as given in my/our preferences in KYC form except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt. Further in case I have credit balance and I have not done any transaction in the last 30 calendar days since last transaction, the credit balance shall be returned to me by you, within next three working days irrespective of the date when the running account was previously settled.
3. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for the next 5 trading days, calculated in the manner specified by the exchanges.
4. I/We confirm you that I/Will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 30 days from the date of receipt of funds/securities or statement of account or statement related to it ,as the case may be at your registered office.
5. I/We confirm you that I/We can revoke the above mentioned authority in writing in any time.
6. I/We understand that there will be no inter client adjustments while settling my accounts even if the other client is related to me.

Once in a Calendar Quarter

Once in a Calendar Month

Note: The authorization shall be signed by the client only and not by any authorised person on his behalf or any holder of Power of Attorney.

APPENDIX B FOR UNDERTAKING FOR SERVICES BY WAY OF SMS / EMAIL ID ALERTS BY TRADING MEMBER ON MOBILE PHONES / EMAIL ID

I/We are having a trading account with yourself for the purpose of trading on NSE/BSE / MCX/ NCDEX /

I/We have registered the mobile number and email id for receiving SMS alerts/ EMAIL alerts in respect of various services being offered by the above respective exchanges mentioned above and Trading Member. Further, I /We are aware that the above exchange also provides trade alerts through SMS /EMAIL alerts and I / We agree to receive the same through above mentioned Email and Mobile number, which is an additional facility provided by them and I / We won't held liable for the same to the respective exchanges and trading member.

A. In respect of trades executed by me/us for investment/trading advisory services received from the trading member. I/ We undertake to the trading member and confirm to use my/our own judgement in taking a call on the said investment(s).

I/We also undertake to the trading member and confirm that I/We execute trades in the identified security(s) and derivative(s) according to my/our financial strength/capability.

I/We declare and agree that the trading member shall not be responsible for any loss suffered by me/us or account of executing or omitting to execute any trades in pursuance of the SMS alerts or EMAIL alerts and/or investment advises sent by the trading member. I/We shall not have any claim whatsoever against the trading member in respect of the above mentioned acts or omissions.

I/We authorize to send consolidated summary of my/our scrip-wise and derivatives-wise details of buy and sell positions taken with average rates to me / us by way of SMS and EMAIL on a daily basis. I/we hereby state that my/our number is not under Do not disturb directory and I / we am/are availing this services on my/our own will and there will be no financial obligations of CHOICE in case if legal disputes.

B. In respect of all other intimation services offered by the trading member, I/We undertake to indemnify the trading member and absolve the trading member of any claims on account of various services rendered to me/us in respect of servicing my/ our trading account with them.

I/We agree to the Running Account Facility and SMS Alert / EMAIL Alert facility as per the terms given above.

Client Code: _____

Mr./ Ms./ Mrs. _____

(8) 



**FORMAT FOR APPENDIX A
ELECTRONIC CONTRACT NOTE [ECN] - DECLARATION (VOLUNTARY)**

To,

**Asnani Stock Broker Pvt. Ltd.
103, Sindhi Colony Pratap Nagar
Chittorgarh (Raj.) - 312001**

Dear sir,

I/We _____

a client with Member **M/s.Asnani Stock Broker Pvt. Ltd.** of NCDEX / MCX /BSE/NSE Exchange undertake as follows:

- I/We am/are aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I/ We myself want the same in the electronic form.
- I/We am/are aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I/We find that it is inconvenient for me/us to receive physical contract notes. Therefore, I/We am/are voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me/us.
- I/We have access to a computer and am/are a regular internet user, having sufficient knowledge of handling the email operations.
- My/Our email id is _____.
This has been created by me/us and not by someone else.
- I/We am/are aware that this declaration form should be in English or in any other Indian language known to me/us.
- I/We am/are aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above Email ID.

The above declaration and the guidelines on ECN given in the annexure have been read understood by me/us. I/We, am/are aware of the risk involved in dispensing with the Physical Contract Note and do hereby take full responsibility for the same.

**(The email id must be written in own handwriting of the client)*

1. Client Name _____

2. Unique Client Code _____

3. PAN _____

4. Client Address: _____

I/We also understand that the above instruction will remain valid from the date of signing of this authorization until it is revoked by me/us in writing.

Signature of Client

Verification of the client signature done by:

(9) 

Name & Designation : _____ Date:

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DECLARATION & CONFIRMATION BY CLIENT

Date :

To,

Asnani Stock Broker Pvt. Ltd.
103, Sindhi Colony Pratap Nagar
Chittorgarh (Raj.) - 312001

I/ We hereby declare and confirm:

- 1) That I/We hereby agree that the ledger statement in respect of transactions entered into on the Cash, Equity Derivatives & Options, Currency Derivatives & Options, Commodity Derivatives & Options segments of the Exchanges will be combined for the purpose of convenience of the stock broker. I/We hereby authorize you to transfer, make adjustments and/or to set off a part of whole of the securities placed as margin and/or any surplus funds in any of my/our account(s) maintained with Asnani Stock Broker Pvt. Ltd. against the lawful outstanding dues payable if any, by me/us in any of my/our account(s) maintained with or vice versa. Asnani Stock Broker Pvt. Ltd. shall have right of lien on the credit balance in any of my/our accounts for the dues of any exchange & segments.
- 2) That I/We hereby agree to pay all the amount due to the broker on its due date. The amount due to the broker shall include all types of margin and pay in obligation. In case if I/We do not make payment by due date I/We understand and agree to pay penal interest chargeable on the amount remaining outstanding as levied by the Stock broker and / or sell the securities lying with the stock broker. In case of debit balance in my account, I /We authorize you to charge Debit instruction charges for transfer of securities from your beneficiary account to my beneficiary account.
- 3) That I/We have a trading account as well as a Demat account with Asnani Stock Broker Pvt. Ltd. For the convenience of payment of all the charges, pertaining to my/our demat account, I/We hereby request you to debit my /our trading account with all the DP account charges, as and when the bill is raised by (Depository Participant).
- 4) That I/We hereby declare that there has been no adverse action whatsoever, such as enquiry/adjudication (penalty imposed) / suspension / cancellation / prosecution/de-barring from security market / commodity market, initiated against me/us or against my /our or any of my/our associated entities by SEBI or any other Regulatory authority during last 3 year. I/We undertake to update/inform in case any enquiry or action is initiated by SEBI / regulatory authorities
- 5) That I / We are fully understand and am/are aware that giving false declaration is an offence and can result in certain action including the rejection of application for opening the account and/or deactivation/freezing of my/ our account.
- 6) 'Electronic Payout : I/We hereby, irrevocable, authorise Asnani Stock Broker Pvt. Ltd. to credit my /our bank account electronically i.e. through Net Banking, RTGS, NEFT etc. for all the funds pay out due to me/us. I/We confirm that I/We am/are the first holder and bonafide owner of the given bank account/s. I/We am/are enclosing documentary proof in this regard for your reference and record. I/We am/are aware and understand the risks associated with electronic transfer of funds and hereby indemnify Asnani Stock Broker Private Limited from all liabilities losses resulting either from delay in crediting of pay out amount and / or non execution of such electronic pay out instruction for any reasons beyond the controlled of Asnani Stock Broker Pvt. Ltd. I/We have provided Cancelled Cheque /Bank Statement with IFSC Code for you to do the needful.'
- 7) I/We, the undersigned, have taken cognizance of circular of commodity exchanges and on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same.
- 8) I/We hereby declare and undertake that we will not exceed the position limits prescribed from time to time by commodity exchanges or SEBI and such position limits will be calculated in accordance with the contents of above stated circular of commodity exchanges as modified from time to time.
- 9) I/We undertake to inform you and keep you informed if I/any of our partners/directors/karta/trustee or any of the partnership firms/companies/HUFs/ Trusts in which I or any of above such person is a partner/director/karta/trustee, takes or holds any position in any commodity forward contract/commodity derivative through you or through any other member(s) of commodity exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of commodity exchanges as modified from time to time.
- 10) I/We confirm that you have agreed to enter orders in commodity forward contracts/commodity derivatives for me/us as your clients on commodity exchanges only on the basis of our above assurances and undertaking.
- 11) I/We hereby further declare that I /We am I are aware of the provisions of the Prevention of Money Laundering Act, 2002 (PMLA) as far as they concern me/us as an investor / client and I/We declare that I am /We are never convicted / barred from dealing in commodities market / securities market in the past under the said Act.

- 12) I/We confirm that I am / We are in compliance with the "Know Your Client" (KYC) guidelines including conducting due diligence required for Anti Money Laundering (AML) checks as prescribed by the FIU / SEBI. I /We further confirm that all KYC and due diligence process has been followed on a continuous basis as long as our account continues to be held with Trading Member. Further I/ We agree to submit / provide all the statutory documents / other compliance details pertaining to my / our trading account whenever required, to fulfill my / our obligation under PMLA also.
- 13) I / We hereby further declare that I / We will not indulge either directly or indirectly in any of the above mentioned fraudulent or unfair trade practices either individually or in concert with other persons / entities. In the event of any of the above fraudulent or unfair trade practices noticed by the Commodity Exchange or Stock Exchange or Regulatory Authorities, then I/We shall be solely responsible for such acts as noticed and you shall not be responsible for my illegal and fraudulent and unfair trade practices in the commodities Market / securities markets segment and future and Options segment of the exchanges.
- 14) I/We hereby give this declaration to you without any coercion, with sound mind and voluntarily to you herein.
- 15) I / We are aware that I/We will be liable to bear the penalties / imposition of fines and other charges on non-payments of monies, cheque bounce, increase in open position or any order/trades/deals/action of me /our which are contrary to the agreement/rules/regulation/bye-laws of the exchanges or SEBI from time to time.
- 16) All fines/penalties and charges levied due to me / our acts / deeds or transaction will be debited to my/our trading account directly by the trading member.
- 17) Commodity trading are in electronic form and are generally squared off at the expiry of Contracts series. I / We confirm you that if wish to take physical delivery of any commodity then I/We hereby confirm that it will be at our own risk and initiative. Further, I /We confirm that Asnani Stock Broker Private Limited responsibility shall end with conveying physical delivery instructions to the concerned commodity Exchange and Asnani Stock Broker Pvt. Ltd. shall not be responsible for any delay in issue of ware house receipt from the exchange, any non-delivery of goods by the ware house for whatever reason or Damage or defective goods delivered by the ware house.
- 18) I/We authorise you not to provide me/ us Order Confirmation/ Modification/ Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/ We shall get the required details from contracts issued by you.
- 19) I/We hereby authorise you to undertake any transfer inter-se of funds, securities, debits & credits available between various exchanges.
- 20) Authorization on pay out to pay out basis and to release the funds & or deliveries (if any) due to me/us on my / our specific request only. I / we also agree that you shall not be liable for any claim for loss or profit, or for any consequential, incidental, special or exemplary damages, caused by retention of such deliveries/funds under this agreement or otherwise.
- 21) Pledge / deposit my / our deliveries & / or funds whether deposited as collateral /margin or permitted by us to be retained in the running account or "client collateral account", "Client Margin Trading Securities account" and "client unpaid securities account" by you with any Exchanges (s) /Clearing Corporation / Clearing House for the purposes towards Margin / collateral as also to meet shortfall in my / our fund/ deliveries pay in obligation/ auctions or any other liability arising out of my/our dealings with you/ through you I/ we authorize you to do all such acts deeds and things as may be necessary and expedient for placing such deliveries with the Exchanges/Clearing houses as margin. You are further authorized to sell/ liquidate these deliveries /FDRs at the time and manner of your choice, as & when deemed fit by you in your absolute discretion to meet any shortfalls in my/our accounts or any other liability of mine/ ours without any reference to me/ us.
- 22) I/We authorise you to liquidate/ close out all or any of my/ our positions for non-payment of margins or other account, outstanding debts etc. I/We agree to bear any and all losses and financial charges on account of such liquidation/ closeout.
- 23) I/We agree that you may refuse to execute any particular transaction without assigning any reason therefor.
- 24) I/We agree not to hold you liable or responsible for delay or default in performance of your obligations due to contingencies beyond your control such as fire, flood, civil commotion, earthquake, riots, war, strikes, failure of systems, failure of internet links, Government/ regulatory actions or any such other contingencies which may be beyond your control.

**FORMAT OF NOC LETTER TO BE SUBMITTED BY
BROKER'S/EXCHANGE'S EMPLOYEE**

**VOLUNTARY
DOCUMENT**

To, _____ Date :

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Asnani Stock Broker Private Limited (ASBPL)

This is to state that I _____ S/oD/o. _____

R/o _____ is employee with the following Stock Broker / Sub-Broker / Authorised Person / Remiser/Stock or Commodity Exchange / _____ (please strike out which is not applicable).

Further, I / We hereby declare that I am / We are a Stock Broker / Sub-Broker / Authorised Person / Remiser of the _____ (name of the Stock or Commodity Exchange where client is a Stock Broker / Sub-Broker / Authorised Person / Remiser) and in this regard pursuant to SEBI circular SEBI/MIRSD/CIR-06/2004 dated January 13, 2004.

Further, I / We states that I / We have intimated the said Exchange of my/our intention to open a trading account with **Asnani Stock Broke Private Limited** only for the purpose of my / our proprietary trades (acknowledged the copy of Intimation Letter / Approval Letter / NOC Letter is attached here with).

INDEMNITY CUM UNDERTAKING

1. That I further undertake to open a bank account in accordance with the name as appearing on the Income Tax website within one week from the date of signing this undertaking.
2. I further undertake that in case my name has been changed after approval from government authorities and notified in official gazette, I shall get the name change effected in PAN, Bank account etc. and furnish immediately to **ASBPL**.
3. That I further declare that I am responsible and I shall indemnify & keep indemnified **ASBPL**, its directors, officers, employees and agents from and against any and all losses, claims, liabilities, obligations, da II ages, deficiencies, judgements, actions, suits, proceedings arising out of or in relation to corporate benefits, IPO refund, Foreign Exchange Management Act (FEMA), share transfer, dematerialization of securities, rematerialization of securities, dividends, interest, etc., that may arise due to name or signature discrepancy or due to non compliance or any liability suffered or incurred or fastened on to **Asnani Stock Broker Private Limited** due to **Asnani Stock Broker Private Limited** accepting this Declaration-cum-undertaking and/or acting on this basis.

That the contents of this declaration, Indemnity-cum-undertaking have been explained to me in vernacular and I have understood the same before signing it. That this declaration, Indemnity-cum-undertaking given by me to **Asnani Stock Broker Private Limited** is by my absolute free will and without any coercion, undue influence, pressure, etc., and at present I am having sound health and mind.

VERBAL ORDER ACCEPTANCE AUTHORISATION

I/We have been / shall be dealing through you as my/ our broker on the Capital Market, Mutual Fund and/or Futures & Options Segments/Currency Derivative Segments / Commodity Derivative Segments. As my/our broker I/we direct and authorize you to carry out trading/dealings on my/our behalf as per instructions given below.

I/We agree and acknowledge that it is advised and prefer you that I/We give instructions for order placement/ modification and cancellation in writing and to avoid disputes, I/we must give instructions in exactly the format given below in duplicate (carbon copy/ photocopy) and take signatures of your duly authorised officers at the branch along with your company stamp on the carbon/photocopy of the instructions in acknowledgment of receipt of my/our instructions.

However as I/ We shall be dealing by ordering over recorded phone and even if we visit the branch, the fluctuations in market are so rapid that it is not practical to give written instructions for order placement/modification and cancellation, I/We hereby authorize you to accept my / our authorised representative's verbal instructions for order placement/modification and cancellation in person or over recorded phone (fixed line or mobile phone) and execute the same. Further I/We also authorise you to accept our trade request on sms / email id / whatsapp or any other mode which is feasible.

I/We understand the risk associated with verbal orders and I/We shall be liable for all risks, losses, damages and actions which may arise as a consequence of your adhering to and carrying out my/our directions given above.

Further, please note that we shall maintained the recording at our premises for reasonable time.

I/We agree to the terms and conditions of the above mentioned declarations.

Thanking you,

Yours Faithfully,

(11) 

FORMAT OF DECLARATION FOR JOINT FAMILY ACCOUNT

To,
Asnani Stock Broker Private Limited
 103, Sindhi Colony Pratap Nagar,
 Chittorgarh (Raj.) 312001

Demat Account No.:

1	2	0	8	2	0	0	0							
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--

Client Code _____

1. WHEREAS the Hindu Undivided Family of _____
 _____ (hereinafter referred to as 'the said joint family' and / or 'the said HUF')
 have or desire to have Broking A/c. with M/s **Asnani Stock Broker Private Limited** (hereinafter to as 'Member') we, the undersigned, hereby declare
 - a) that we are the present adult co-parceners of the said joint family;
 - b) that Mr. _____ is the present **Karta** or Manager of the said Joint Family.
 - c) that we are entitled to trade in shares / commodity derivatives and open Share Broking / Commodity Account of the said Joint Family.
 - d) that each one of us has full and unrestricted authority to act on behalf of, and bind, the said HUF & all the present as well as future members, both adults and minors, of the said joint family, howsoever constituted from time to time.
2. We confirm that the affairs of the said joint family and the business of the said HUF are carried on mainly by the Karta/Manager, on behalf and in the interest and for the benefit of all the co-parceners of the said joint family. We hereby authorize the Karta/ Manager on behalf of the HUF to deal on Cash/Capital market segment (CM) and/or Derivatives/Futures and Options segment (F&O) (commodity derivatives) and the said Trading Member is hereby authorized to honor all instructions oral or written, given by him on behalf of the HUF. The Said Karta is authorized to sell, purchase, transfer endorse, negotiate documents and / or otherwise deal through on behalf of the HUF. He is also authorized to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds as may be deemed necessary or expedient to open account and give effect to this purpose. We are, however, jointly and severally responsible for all liabilities of the said HUF. to the Member and agree and confirm that any claim due to the Member from the said HUF shall be recoverable from the assets of any one or all of us and also from the estate of the said joint family including the interest thereon of every co-parcener of the said joint family, including the share of the minor coparceners, if any.
3. We undertake to inform the Member in writing of any change that may occur in the Kartaship / Managership or in the constitution of the said joint family or to the said HUF and until receipt of such notice by the Member, the Member will be entitled to regard each of us as a member of the said joint family and as a partner of the said HUF and all acts, dealings and transactions purporting to have been done on behalf of the said joint family or of the said HUF before the Member shall have received notice in the manner aforesaid, shall be binding on the said joint family and the said HUF and on our respective estates. We shall, however, continue to be liable jointly and severally to the Member for all dues and obligations of the said HUF in the Member's book on the date of the receipt of such notice by the Member and until all such dues and obligations shall have been liquidated and discharged.
4. The names and dates of birth of the present minor co-parceners of the said joint family are given below. We undertake to inform you in writing as and when each of the said members attains the age of majority and is authorized to act on behalf to, and bind, the said HUF

Name of the Minor	Father's Name	Date of Birth

5. We have received and read a copy of the member's rules and regulations for the conduct of Share Broking / Commodity Account and we agree to comply with and be bound by the said rules now in force or any changes that may be made therein from time to time.

6. List of Co-Parceners / Karta as on date and our signatures are as follows :

Sr. No.	Name	PAN No.	Date of Birth / Age	Relation	Signature
1				Karta	
2					
3					
4					

Karta signature with seal

Name _____

Asnani Stock Broker Private Limited

DP - CDSL . DP ID - 12082000 SEBI Regn. No. : IN DP -122-2015
 Regd. Office : 103 Sindhi Colony Pratap Nagar, Chittorgarh 312001 (Raj.) Tel. :(01472)
 244973, 246343 E-mail : asnani@asnanionline.com


SCHEDULE OF CHARGES FOR CDSL - BENEFICIARY ACCOUNT

ACTIVITY	NORMAL DEMAT CHARGES	BSDA DEMAT CHARGES		
Statutory Charges at the time of Account opening	Rs. 75/-	Rs. 100/-		
(i) Annual Maintenance Charges (Normal Scheme) (ii) Loyalty Plan (For Individuals only)	Rs. 350/- (For individual) Rs. 2000/- (for Corporate) AMC free if Security Deposit (Refundable) of Rs. 2500 deposited (Client Should be maintain account for minimum one year or above otherwise AMC will be changed under normal scheme)	AMC free if shares valuation upto 50000 Rs. 100/- (Share Valuation is 50,001 to 2,00,000/-) When shares valuation across 50000 will be charge 100/- and valuation across 2,00,000 account operate as normal A/c.		
Dematerialisation	Rs. 20/- per DRF + Rs. 3/- per Cer. * (if quantity is more than 1000 on certificate then Rs. 3/- will be charged per 1000 quantity of shares)	Rs. 75/- per DRF + Rs. 10/- per Cer. * (if quantity is more than 1000 on certificate then Rs. 10/- will be charged per 1000 quantity of shares)		
Rematerialisation	Rs. 20/- per DRF + Rs. 3/- per Cer. * (if quantity is more than 1000 on certificate then Rs. 3/- will be charged per 1000 quantity of shares)	Rs. 75/- per DRF + Rs. 10/- per Cer. * (if quantity is more than 1000 on certificate then Rs. 10/- will be charged per 1000 quantity of shares)		
Transaction Charges				
A. Transaction Charges (Market)	0.02% subject to minimum Rs. 20/-	0.05% subject to minimum Rs. 35/-		
B. Transaction Charges (Off Market.)	0.02% of value of transaction or minimum Rs. 25/- whichever is higher	0.05% of value of transaction or minimum Rs. 50/- whichever is higher		
Custody Charges	Nil			
Creation of Pledge	Rs. 50/- per request of 0.02% of transaction value of securities whichever is higher	Rs. 100/- per request of 0.05% of transaction value of securities whichever is higher		
Close Of Pledge	Rs. 50/- per request of 0.02% of transaction value of securities whichever is higher	Rs. 100/- per request of 0.05% of transaction value of securities whichever is higher		
Invication of Pledge	Rs. 50/- per request of 0.02% of transaction value of securities whichever is higher	Rs. 100/- per request of 0.05% of transaction value of securities whichever is higher		
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In case Bank mandate for debit through ECS is not given, Minimum credit balance of Rs. 200/- shall be maintained as interest free security. 9. In case of any upward revision is schedule of charges, 30 days notice would be given by publishing in newspaper/post shall treated as sufficient Notice. 10. In case of nonpayment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be Rs. 100. 11. If client give POA to Asnani Stock Broker Pvt, Ltd, then POA charge Rs. 110/-will be applicable (one time charged). 12. Any services not mentioned above will charged extra. </td> <td style="width: 50%; vertical-align: top;"> <p>Instructions for BSDA DEMAT CHARGES</p> <ol style="list-style-type: none"> 1. 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Bank Details [Dividend Bank Details]																							
Bank Code													IFSC Code										
Bank A/c No.									Bank A/c Type	<input type="checkbox"/> Saving	<input type="checkbox"/> Current	<input type="checkbox"/> Others	Pl. Specify										
Bank Name									Branch Name														
Bank Branch Address																							
City/Town/Village									Pin Code														
State									Country														

- (I) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 (II) Photocopy of the bank Statement having name and address of the BO
 (III) Photocopy of the Passbook having name and address of the BO, (or)
 (IV) Letter From the Bank
 ➤ In Case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/ mentioned on the Document.

Other Details																				
Gross Annual Income Details	Income Range per annum																			
	<input type="checkbox"/> Up to Rs. 1,00,000			<input type="checkbox"/> Rs. 1,00,001, to Rs. 5,00,000			<input type="checkbox"/> Rs. 5,00,001 to Rs. 10,00,000			<input type="checkbox"/> Rs. 10,00,001 to Rs. 25,00,000			<input type="checkbox"/> More then Rs. 25,00,001							
	Net worth as on (Date) ____/____/20____ Rs. _____ [Net worth should not be older than 1 year]																			
Occupation	<input type="checkbox"/> Private / Public Sector		<input type="checkbox"/> Govt. Service		<input type="checkbox"/> Business		<input type="checkbox"/> Professional		<input type="checkbox"/> Agriculture			<input type="checkbox"/> Retired		<input type="checkbox"/> House Wife		<input type="checkbox"/> Student		<input type="checkbox"/> Others (Specify) _____		
Please tick, if Applicable													<input type="checkbox"/> Politically Exposed Person (PEP)	<input type="checkbox"/> Related to Politically Exposed Person (RPEP)						
Any Other Information																				
SMS Alerts Facility	Mobile No.	+	9	1																
Refer to Terms & Conditions given as Annexure 2.4	[(Mandatory, if you are given Power of Attorney (POA)) (If POA is not granted & yo do not wish to avail of this facility, cancel this Option).]																			
Transactions Using Secure Texting Facility (TRUST) Refer to Terms and Conditions Annexure - 2.6	I wish to Avail the TRUST facility using the Mobile Number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No																			
	I wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST																			
	Stock Exchange Name / ID				Clearing Member Name				Clearing Member ID (Optional)											
													To register for easi, please visit our website : www.cdslindia.com Easi allow a BO to view his ISIN balances, transactions and value of the portfolio online.							

Account Type : BSDA (If yes please provide declaration) Yes No RGESS Yes No

Nomination Details												
I/We the sole Holder / Joint Holder / Guardian (in Case Of Minor) hereby declare that										Nomination Regn. No.		
<input type="checkbox"/> I/We do not wish to nominate any one for this demet account.										Dated		
<input type="checkbox"/> I/We Nominate the following person who is entitled to receive security balances lying in my/our account, particulars where of are given below, in the event of my/our death.												
Full IName of the Nominee												
Address												
	City/Town/Village								Pin Code			
	State								Country			
Telephone No.						Fax No.						
PAN						UID						
E-mail ID												
Relationship with BO (if any)												
Date of Birth (mandatory if nominee is a minor)												

Residual Securities (Please tick any one nominee. If tick not Marked default will be the first nominee)

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Nominee 1	Nominee 2	Nominee 3

A maximum of 3 nominations can be registered / for registering additional nominees (Nominees 2&3) please take copy of the nominee page and use the same.


As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all joint holders I/We appoint following person to act as **Guardian** :

Full Name of Guardian of Nominee							
Address							
City/Town/Village		PIN Code					
State		Country					
Telephone No.		Fax. No					
E-mail ID							
Relationship of Guardian with Nominee							

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.
 Note : Two witness shall attest signature(s) / thumb impression(s)

DETAIL OF THE WITNESS		
	First Witness	Second Witness
Name of Witness		
Address of Witness		
Signature of Witness		

I/We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declared that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any charge(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signature		✓	<input checked="" type="checkbox"/>

(Signature should be preferably in black ink).

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT (FOR NON-INDIVIDUAL)

Asnani Stock Broker Private Limited

DP - CDSL . DP ID - 12082000 SEBI Regn. No. : IN DP -122-2015
 Regd. Office : 103 Sindhi Colony Pratap Nagar, Chittorgarh 312001 (Raj.)
 Tel. :(01472) 244973, 246343 E-mail : asnani@asnanionline.com

(To be filled by the Depository Participant)

Application No.		Date	DD	MM	YY												
DP Internal Reference No.																	
DP ID	1	2	0	8	2	0	0	0	Client ID								

(to be filled by the applicant in **Block letters** in English)

I/We request you to open a demet account in my/our name as per the following Details

Holders Details

Sole/First Holder Name	Search name		PAN														
			UID														
Second Holder Name			PAN														
			UID														
Third Holder Name			PAN														
			UID														

Name *

* In Case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the Natural Persons, the Name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, Etc. Should be mentation above.

Type of Account (Please tick whichever is applicable)

Status		Sub - Status	
<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Bank	<input type="checkbox"/> Trust	<input type="checkbox"/> Mutual Fund
<input type="checkbox"/> CM	<input type="checkbox"/> FI	<input type="checkbox"/> Clearing House	<input type="checkbox"/> Others (Specify) _____
SEBI Registration No. (if Applicable)		SEBI Regn. Date	
RBI Registration No. (if Applicable)		RBI Regn. Date	
Nationality	Indian	<input type="checkbox"/> Indian	<input type="checkbox"/> Others (Specify) _____

I/We instruct the DP to receive each and every sredit in my/our account if not marked, the default option would be 'Yes'	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledga instructions in my/our account without any other further instruction from my/our end (if not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I/We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to Share thwe E-mail ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic


Clearing Member Details (To be filled by CMS only)

Name Of Stock Exchange	
Name Of CC / CH	
Clearing Member ID	Trading member ID
I/We Wish to receive dividend / interest directly in to my bank account as given below through ECS (If not marked, the default option would be 'yes') [ECS is mandatory for locations notified by SEBI form time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No




Bank Details [Dividend Bank Details]										(*99 digit MICR Code)					#11 Character						
Bank Code										IFSC Code											
Bank A/c No											Bank A/c Type	<input type="checkbox"/> Saving	<input type="checkbox"/> Current	<input type="checkbox"/> Others	PI.Specify						
Bank Branch Address	City/town/village										PIN Code										
											Country										

- (i) Photocopy of the Cancelled Cheque having the name of the account holder where the Chque book issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO,
(iii) Photocopy of the Passbook name and address of the BO,
(iv) Letter from the Bank
➤ In Case of Options (II),(III) and (IV) above,MICR Code of the branch Should be present/ mentioned on the document.

Other Details														
Gross Annual Income Details	Income Range Per annum													
	<input type="checkbox"/> Up to Rs.1,00,000				<input type="checkbox"/> Rs.1,00,0001 to Rs. 5,00,000				<input type="checkbox"/> Rs.5,00,001 to Rs. 1,0,00,000					
	<input type="checkbox"/> Rs.1,00,0001 to Rs. 25,00,000				<input type="checkbox"/> Rs.25,00,001 to Rs. 1,00,00,000				<input type="checkbox"/> More than Rs. 1,0,00,000					
Net Worth as on (Date)/20 Rs.[Net worth should not be older than1 year]														
Please tick if any of the authorized signatorises/Promoters/ Partners/Karta/Trustees/Whole Time Directors is either Pollitically Exposed Person (PEP) or Related to Politically Exposed Person (RPEP).Please provide details per Annexure 2.2A														
Any other Information														
SMS Alerts Facility	Mobile No.	+	9	1										
Refer to terms & Conditions given as Annexure 2.4	[(Mandantor, if you are giving Power Of Attorney (POA)													
	[(if POA is not granted & you do not wish to avail of this Facility, cancel this Option).													
Transcations Using Secured Texting Facility (Trust) Refer Terms and Conditions Annexure -2.6	I wish avial the TRUST facility using Mobile number registered for SMS Alert Facility .I have read and undersood the Terms and Conditions by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No													
	I/We wish to register the following clearing member IDs member Under my/our below mentioned BO ID registered for TRUST													
	Stock Exchange Name/ID				Clearing Member Name					Clearing Member ID (Optional)				
 <i>To register for easi, please Visit our website :www.cdslindia.com</i> <i>Easi allows a BO to view his ISIN balances, transcations and Value of the portfolio Online.</i>														

I/We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declared that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any charge(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	Sole/First Authorised Singntory	Second Authorised Singntory	Third Authorised Singntory
Name			
Designation			
Signature		✓	☒

(Signatures Should be preferably in black ink)

(in Case of more authorised signatories add annexure)

10

**RIGHTS AND OBLIGATIONS OF BENEFICIAL OWNER AND DEPOSITORY PARTICIPANT
AS PRESCRIBED BY SEBI AND DEPOSITORIES**

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.

2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.

4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"

6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.

7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial

owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.

10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.

12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI /depository in this regard.

14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.

15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.

16. In case of Basic Services DematAccounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the



Sole/First Holder Signature

✓

Second Holder Signature

✗

Third Holder Signature

Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion. Default in payment of charges
19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze /defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules / Operating Instructions.
23. The DP or the Depository shall have the right to

freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction
 In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.

26. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/her account, that may be in force from time to time.
27. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
28. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/noticaes issued there under by the depository and/or SEBI.
29. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
30. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.



Sole/First Holder Signature



Second Holder Signature



Third Holder Signature

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalai Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
 2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
 3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
 4. SMS means "Short Messaging Service"
 5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
 6. "Service Provider" means a cellular service provider(s) with whom the depository has entered/will be entering into an arrangement for providing the SMS alerts to the BO.
 7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.
- Availability:**
1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period /indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
 2. The service is currently available to the BOs who are residing in India.
 3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
 4. In case of joint accounts and non-individual accounts the service will be available, only one mobile number the mobile number as submitted at the time of registration /modification.
 5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will

Annexure 2.4

continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration /change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get/ get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account /unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the



Sole/First Holder Signature



Second Holder Signature



Third Holder Signature

depository.

- 7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
- 8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
- 9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to nauthorized access to the information meant for the BO. In consideration of the

depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already reagenter as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.




I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ We further undertake to pay fee/ charges as may be levied by the depository from time to time. I/We provide following information for the purpose of Registration/modification. (Please cancel out what is not applicable.

I/ We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/we am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provided the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable)

DP ID	1	2	0	8	2	0	0	0	Client ID										
Sole First / Holder's Name : _____																			
Second Holder's Name : _____																			
Third Holder's Name : _____																			
Mobile No. On which messages are to be sent										+	9	1							
(Please write only the mobil number without prefixing country code or zero)																			
The Mobile Number is registered in the name of : _____																			
E-mail Id : _____																			
(Please write only ONE valid email id on which communication; if any is to sent)																			
 _____ Sole/First Holder Signature						 _____ Second Holder Signature						 _____ Third Holder Signature							
IN CASE OF JOINT HOLDERS, ALL JOINT HOLDERS MUST SIGN.																			
* Please do not use correction fluid, all cutting must be attested by all the joint holder(s)																			
Place :										Date :									



DECLARATION FOR OPTING OF DIS BOOK- VOLUNTARY

Date :

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To,

ASNANI STOCK BROKER PRIVATE LIMITED

Reg & Corp. Office : 103, Sindhi Colony, Pratap Nagar,
Chittorgarh (Raj.) 312001

Dear Sir / Madam,

A. I/ We require the Delivery Instruction Slip (DIS)

OR

B. I/ We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA executed in favour of **Asnani Stock Broker Private Limited (ASBPL)** for executing delivery instructions for setting stock exchange trades [settlement related transactions] and margin purpose effected through ASBPL. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully,

DP ID	1	2	0	8	2	0	0	0	CLIENT ID	0	0	0				
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Particulars	First / Sole Holder / Karta	Second Joint Holder	Third Joint Holder
Name			
Signatures	(15)		

ACKNOWLEDGEMENT

I / We acknowledge with thanks the receipt of a duly executed copy of the KYC kit with supporting documents, as per SEBI guidelines conveyed through BSE Notice No.20080624 dt: 24/06/2008 / NSE Circular No. NSE/INSP/2008/67 dt:23/06/2008. / MSEI Circular No. MSEI/COMP/56/2009 dt: 27/01/2009 / FMC Circular No. FMC/4/2011/G/30 (Ref. No.:Div. III/I/89/07) dated December 16,2011..

I / We further acknowledge the receipt of a separate booklet containg all the mandatory documents containing Rights & Obligation of Stock Broker, Sub-Brokers and Clients / Internet & Wireless Technology Based Trading Facility / Risk Disclosure Document (RDD) / Guidance – DO's and DON'T's / Rights & Obligation of Beneficial Owner and Depository Participant / Policies and Procedures of Trading Member.

The above mentioned documents are also available in the Vernacular languages and on our website at <http://www.asnanionline.com> Account Opening Form CRF- form in vernacular languages equity / commodity and can be downloaded.

Name of Client: _____

Client Code: _____

(16)

Client's Signature / for Non Individual
Please affix the seal also

Declaration for Common Mobile Number and EMAIL ID in a Family Account.

To,

Date:

ASNANI STOCK BROKER PRIVATE LIMITED

Reg & Corp. Office : 103, Sindhi Colony, Pratap Nagar,
Chittorgarh (Raj.) 312001

Dear Sirs,

Re: Opening of Trading and Demat Account.

With reference to my /our application for opening of a Trading and Demat account with you, I / We hereby declare that I / We want all the SMS and E-Mail alerts on the following Email ID and Mobile No. which are mentioned below respectively as per SEBI Circular No. CIR/MIRSD/15/2011 dated August 02, 2011.

Email ID: _____

Mobile No:

Further, I / We confirm that the above details which have been provided by us belong to Family (Self, Spouse, Dependent Children, and Dependent Parents) Member whose details have been provided by us as under:

Name of the family Member: _____

Relationship with the Client: _____

Trading account with **Asnani Stock Broker Private Limited** (if Any): _____


I / We also confirm that this request has been given to the Stock Broker / Commodity Broker under exceptional circumstances as I / We am / are dependent on our family member whose details have been mentioned in this declaration (above) and I / We further confirm that I / We don't have any objection to this and I / We give full consent in this regard .

Further, I/We hereby declare that the details furnished above are true and correct to the best of my /our knowledge and belief and I / We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that I/We may be held liable for it.

Thanking You,

Yours Faithfully,

Signature of the Client:

(17) 

Client Name: _____

Client Code: _____

CBCT Terms and Conditions

The Central Board of Direct Taxes (CBDT) has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Custodian / Depository to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple demat account with Asnani Stock Broker Private Limited. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

CBCT Terms and Conditions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below

	FATCA/ CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
	FATCA/ CRS Indicia observed (ticked)	If customer does not agree to be Specified U.S. person / reportable person status
1	U.S. place of birth	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND 3. Any one of the following documents: a. Certified copy of "Certificate of Loss of Nationality or b. Reasonable Explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reson the customer did not obtain U.S. citizenship at birth
2	Residence/ mailing address in a country other than India	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)
3	Telephone number in a country other than India (and no telephone number in India provided)	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)
4	Standing instructions to transfer funds to an account	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- Certificate of residence issued by an authorized government body*
- Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

* Government or agency thereof or a municipality



Registered Office: Asnani Stock Broker Private Limited, 103, Sindh Colony Pratap Nagar, Chittorgarh -312001

Ph: +91 1472 246343-244973 | E. asnani@asnanionline.com | W. www.asnanionline.com

iii. to return to me/us , the securities that may have been received erroneously or those securities that were not entitled to receive from me/us;

iv. to send consolidated summary of my/our scrip-wise buy and sell positions taken with average rates to me/us by way of SMS/e-mail on a daily basis, notwithstanding any other document to be disseminated as specified by SEBI from time to time.

This authority is restricted to the pay-in obligations arising out of the transactions of sale affected by me/us through ASNANI STOCK BROKER PRIVATE LIMITED and I/We ratify the instructions given by the aforesaid Clearing member to the Depository Participant named hereinabove in the manner specified herein.

I/We further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue until it is revoked (without notice) in writing by me and that the said revocation shall be effective from the date on which the revocation notice is received at office at "" 103 Sindhi Colony, Pratap Nagar, Chittorgarh (Raj.) - 312001.



Sole/First Holder Signature



Second Holder Signature



Third Holder Signature

I Accept
DIRECTORS
Asnani Stock Broker Private Limited
103, Sindhi Colony, Pratap Nagar,
Chittorgarh - 312001, Rajasthan

DATE: _____
POA Registration No.

WITNESS: Signature _____
Name _____
Address _____

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Asnani Stock Broker Pvt. Ltd.

Equities

Commodities

DP Services

Online Trading

Insurance Broking

Investment Banking

IPOs & Mutual Funds

Wealth Advisory Services

Research Based Advisory Services

Dedicated Institutional and NRI Desk

Email : asnani@asnanionline.com



Website : www.asnanionline.com

Registered office :

103, Sindhi Colony, Pratap Nagar,
Chittorhgarh 312001 (Raj.)
Tel : (01472) 246343, 244973
Mob. : +91 93514-12345