



Asnani

Stock Broker Pvt. Ltd.

BROKERS

Asnani Stock Broker Pvt. Ltd.

21.15	21.71	22.47	+0.46	2.11%
22.28	22.74	23.37	-1.26	-5.12%
23.97	24.74	25.37	+12.40	3.27%
26.70	27.43	28.15	+0.74	0.78%
29.67	30.95	31.61	+0.42	1.69%
32.10	34.74	35.22	+0.30	1.22%



Account Opening Form

Cental Depository Services (INDIA) Limited



Client Name

DPID : **12082000** Client ID

Date of Registration

BROKERS



Asnani

Stock Broker Pvt. Ltd.

Asnani Stock Broker Pvt. Ltd.

DP ID : 12082000 SEBI Regn. No.: IN-DP-122-2015

Registered Office Address :-

C-8 & 9, Ankit Plaza, Near Jainani Hospital,
Chittorgarh (Raj.) - 312001 Tel No. - 01472-246343 / 244973
Email : dp@asnanionline.com / Website : www.asnanionline.com

Corporate Office Address :

C-8 & 9, Ankit Plaza, Near Jainani Hospital,
Chittorgarh (Raj.) - 312001 Tel No. - 01472-246343 / 244973
Email : dp@asnanionline.com / Website : www.asnanionline.com

Compliance Officer Details (CDSL) :

Name : Vinod Asnani
Phone No. : 01472-246343
Email id : vinod@asnanionline.com

CEO,s Details :

Name : Kamal Asnani
Phone No. : 01472-244973
Email id : kamal@asnanionline.com

For any grievance/dispute please contact **Asnani Stock Broker Pvt. Ltd.** at the above address or email id : **dp@asnanionline.com**
Ph.: 01472-246343 / 244973 In case not satisfied with the response please contact the concerned exchange(s) at :

Exchange Name	Email ID	Phone No.
CDSL	complaints@cdslindia.com	1800-200-5533

Application No. :

 Please fill in **ENGLISH** and in **BLOCK LETTERS**
A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in support identification document).

Name

Father's/Spouse Name

 2. Gender Male Female B. Marital Status Single Married C. Date of Birth d d / m m / y y / y y

 3. Nationality Indian Other

 4. Status Please tick (✓) Resident Individual Non Resident Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

 5. PAN Please enclose a duly attested copy of your PAN Card

Aadhaar Number, if any :

6. Proof of Identity submitted for PAN exempt cases Please Tick (✓)

 UID (Aadhaar) Passport Voter ID Driving Licence Others:

(Please see guideline "D" overleaf)

PHOTOGRAPH

 Please affix
 the recent passport
 size photograph and
 sign across it

B. Address Details (please see guidelines overleaf)

1. Address for Correspondence

City/Town/Village

Pin Code

State

Country

2. Contact Details

Tel. (Off.)

Tel. (Res.)

Mobile

Fax

E-Mail Id.

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

 Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please Specify)

 *Not more than 3 Months old. Validity/Expiry date of proof of address submitted d d / m m / y y / y y

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

City/Town/Village

Pin Code

State

Country

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

 Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please Specify)

 *Not more than 3 Months old. Validity/Expiry date of proof of address submitted d d / m m / y y / y y

6. Any other information

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place

Date

SIGNATURE OF APPLICANT

FOR OFFICE USE ONLY
 Original verified and Self-Attested Document copies received

(Seal/Stamp of intermediary)

 Staff Name :
 Designation :
 Name of Organisation : **Asnani Stock Broker Pvt. Ltd.**
 Signature :
 Date :

IPV Done on d d / m m / y y / y y

 Name of Intermediary : **Asnani Stock Broker Pvt. Ltd.**

Staff Name :

Staff/AP/Sub Broker :

Designation :

Name of Organisation :

Signature :

Date :

INSTRUCTIONS / CHECK LIST FORM FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self- attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCIC overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport/Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat

Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank / Multinational Foreign Banks /Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FI I/sub account, Power of Attorney given by FI I/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/ling tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, Flis, Mfs, VCFs, FVCIs for all, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

INSTRUCTIONS / CHECK LIST FORM FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCIC overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: ('Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below :

Type of Entity	Documentary Requirements
Corporate	<ul style="list-style-type: none"> ✍ Copy of the balance sheets for the last 2 financial years (to be submitted every year.) ✍ Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations duly certified by the company secretary/Whole time director/MD (to be submitted every year.) ✍ Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. ✍ Photograph, POI, POA, PAN of individual promoters holding control- either directly or indirectly. ✍ Copies of the memorandum and Articles of Association and certificate of incorporation. ✍ Copies of the Board Resolution for investment in securities market. ✍ Authorised signatories list with specimen signatures.
Partnership Firm	<ul style="list-style-type: none"> ✍ Copy of the balance sheets for the last 2 financial years (to be submitted every year.) ✍ Certificate of registration (for registered partnership firms only). ✍ Copy of partnership deed. ✍ Authorised signatories list with specimen signatures. ✍ Photograph, POI, POA, PAN of Trustees.
Trust	<ul style="list-style-type: none"> ✍ Copy of the balance sheets for the last 2 financial years (to be submitted every year.) ✍ Certificate of registration (for registered trust only). ✍ Copy of Trust deed. ✍ List of trustees certified by managing trustees/CA. ✍ Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> ✍ PAN of HUF. ✍ Deed of declaration of HUF/List of coparceners. ✍ Bank pass-book/bank statement in the name of HUF ✍ Photograph, POI, POA, PAN of Karta.
Unincorporated Association or a Body of Individuals	<ul style="list-style-type: none"> ✍ Proof of Existence/Constitution document. ✍ Resolution of the managing body & Power of Attorney granted to transact business on its behalf. ✍ Authorised signatories list with specimen signatures.
Banks/Institutional Investors	<ul style="list-style-type: none"> ✍ Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. ✍ Authorised signatories list with specimen signatures.
Foreign Institutional investors (FII)	<ul style="list-style-type: none"> ✍ Copy of SEBI registration certificate. ✍ Authorised signatories list with specimen signatures.
Army/Government Bodies	<ul style="list-style-type: none"> ✍ Self-certification on letterhead. ✍ Authorised signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> ✍ Copy of Registration Certificate under Societies Registration Act. ✍ List of managing Committee members. ✍ Committee resolution for persons authorised to act as authorised signatories with specimen signatures. ✍ True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT (FOR INDIVIDUAL)



ASNANI STOCK BROKER PVT. LTD.

Regd. Office: C-8 & 9, Ankit Plaza, Near Jainani Hospital, Chittorgarh (Raj.) - 312001
 Stock Broker Pvt. Ltd. Tel No. - 01472-246343 / 244973 / Email : dp@asnanionline.com / Website : www.asnanionline.com

(To be filled by the Depository Participant)

DP-CDSL • DP ID - 12082000 SEBI Regn. No.: IN-DP-122-2015

Application No.		Date	D	D	M	M	Y	Y	Y	Y							
DP Internal Reference No.																	
DP ID	1	2	0	8	2	0	0	0	Client ID								

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/our name as per the following details

Holders Details

Sole/First Holder Name	PAN																
	UID																
Second Holder Name	PAN																
	UID																
Third Holder Name	PAN																
	UID																

Name *	
--------	--

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural Persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentation above.

Type of Account (Please tick whichever is applicable)

Status	Sub - Status		
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident	<input type="checkbox"/> Individual - Director	<input type="checkbox"/> Individual Director's Relative
	<input type="checkbox"/> Individual HUF / AOP	<input type="checkbox"/> Individual Promoters	<input type="checkbox"/> Minor
	<input type="checkbox"/> Individual Margin Trading A/c (MANTRA)		<input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Non-Repatriable	<input type="checkbox"/> NRI Repatriable Promoter
	<input type="checkbox"/> NRI Non-Repatriable Promoter	<input type="checkbox"/> NRI-Depository Receipts	<input type="checkbox"/> Others (specify) _____
	<input type="checkbox"/> Foreign National		
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National Depository Receipts		
	<input type="checkbox"/> Others (specify) _____		

Details of Guardian (in case the account holder is minor)

Guardian's Name	
PAN	Relationship with the applicant


I/We instruct the DP to receive each and every credit in my/our account (if not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledga instructions in my/our account without any other further instruction from my/our end (if not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I/We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to receive the Annual Report (Tick the applicable box. If not marked the default option wplud be in Physical)	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic
I/We wish to receive dividend / interest directly in to my bank account as given below through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No

Bank Details [Dividend Bank Details]

Bank Code		IFSC Code	
Bank A/c No.		Bank A/c Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others <small>Pl. Specify</small>
Bank Name		Branch Name	
Bank Branch Address			
City/Town/Village		PIN Code	
State		Country	

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO
(iii) Photocopy of the Passbook having name and address of the BO, (or)
(iv) Letter from the Bank
➤ In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

Other Details

Gross Annual Income Details	Income Range per annum <input type="checkbox"/> Up to Rs. 1,00,000 <input type="checkbox"/> Rs. 1,00,001, to Rs. 5,00,000 <input type="checkbox"/> Rs. 5,00,001 to Rs. 10,00,000 <input type="checkbox"/> Rs. 10,00,001 to Rs. 25,00,000 <input type="checkbox"/> More than Rs. 25,00,001									
	Net worth as on (Date) ____ / ____ /20__ Rs. _____ [Net worth should not be older than 1 year]									
Occupation	<input type="checkbox"/> Private / Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify) _____									
Please tick, if Applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)									
Any other information										
SMS Alerts Facility	Mobile No. + 9 1 _____									
Refer to Terms & Conditions given as Annexure 2.4	[(Mandatory , if you are giving Power of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option).									
Transactions Using Secured Texting Facility (TRUST) Refer to Terms and Conditions Annexure - 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST									
	<table border="1"> <thead> <tr> <th>Stock Exchange Name / ID</th> <th>Clearing Member Name</th> <th>Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)								
	To register for easi, please visit our website : www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.									

Nomination Details

I/We the Sole Holder / Joint Holder / Guardian (in case of minor) hereby declare that

<input type="checkbox"/> I/We do not wish to nominate any one for this demat account	Nomination Regn. No.	
<input type="checkbox"/> I/We nominate the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of my/our death.	Dated	

Full Name of the Nominee			
Address	City/town/village		PIN Code
	State		Country
	Telephone No.		Fax No.
PAN		UID	
E-mail ID			
Relationship with BO (if any)			
Date of Birth (mandatory If nominee is a minor)			


As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders, I/We appoint following person to act as **Guardian**:

Full name of Guardian of Nominee							
Address							
City/town/village		PIN Code					
State		Country					
Telephone No.		Fax.No.					
E-mail ID							
Relationship of Guardian with Nominee							

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.
 Note : Two witnesses shall attest signature(s) / thumb impression(s)

DETAILS OF THE WITNESS		
	First Witness	Second Witness
Name of witness		
Address of witness		
Signature of witness		

I/We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declared that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any charge(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signature		✓	<input checked="" type="checkbox"/>

(Signatures should be preferably in black ink).

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT (FOR NON-INDIVIDUAL)



Asnani
Stock Broker Pvt. Ltd.

ASNANI STOCK BROKER PVT. LTD.

Regd. Office: C-8 & 9, Ankit Plaza, Near Jainani Hospital, Chittorgarh (Raj.) - 312001
Tel No. - 01472-246343 / 244973 / Email : dp@asnanionline.com / Website : www.asnanionline.com

(To be filled by the Depository Participant)

DP-CDSL • DP ID - 12082000 SEBI Regn. No.: IN-DP-122-2015

Application No.								Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.																
DP ID	1	2	0	8	2	0	0	0	Client ID							

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/our name as per the following details

Holders Details

Sole/First Holder Name								PAN								
	Search Name							UID								
Second Holder Name								PAN								
								UID								
Third Holder Name								PAN								
								UID								

Name *															
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural Persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentation above.															

Type of Account (Please tick whichever is applicable)

Status								Sub - Status									
<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Bank	<input type="checkbox"/> Trust	<input type="checkbox"/> Mutual Fund	<input type="checkbox"/> OCB	<input type="checkbox"/> FII												
<input type="checkbox"/> CM	<input type="checkbox"/> FI	<input type="checkbox"/> Clearing House	<input type="checkbox"/> Others (Specify) _____														
SEBI Registration No. (if Applicable)								SEBI Regn. Date									
RBI Registration No. (if Applicable)								RBI Approval Date									
Nationality		<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify) _____															


I/We instruct the DP to receive each and every credit in my/our account (if not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledga instructions in my/our account without any other further instruction from my/our end (if not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I/We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic

Clearing Member Details (To be filled by CMs only)


Name of Stock Exchange																
Name of CC/CH																
Clearing Member ID								Trading member ID								
I/We wish to receive dividend / interest directly in to my bank account as given below through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]														<input type="checkbox"/> Yes	<input type="checkbox"/> No	

Bank Details [Dividend Bank Details]										(*99 digit MICR Code)										#11 Character									
Bank Code										IFSC Code#																			
Bank A/c No.											Bank A/c Type	<input type="checkbox"/> Saving	<input type="checkbox"/> Current	<input type="checkbox"/> Others	Pl. Specify														
Bank Branch Address	City/town/village										PIN Code																		
	State										Country																		

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO
(iii) Photocopy of the Passbook having name and address of the BO, (or)
(iv) Letter from the Bank
➤ In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

Other Details										
Gross Annual Income Details	Income Range per annum									
	<input type="checkbox"/> Up to Rs. 1,00,000			<input type="checkbox"/> Rs. 1,00,001, to Rs. 5,00,000				<input type="checkbox"/> Rs. 5,00,001 to Rs. 10,00,000		
	<input type="checkbox"/> Rs. 10,00,001 to Rs. 25,00,000			<input type="checkbox"/> Rs. 25,00,001 to Rs. 1,00,00,000				<input type="checkbox"/> More than Rs. 1,00,00,000		
Net worth as on (Date) ___/___/20___ Rs. _____ [Net worth should not be older than 1 year]										
Please tick if any of the authorized signatories / Promoters / Partners / Karta / Trustees / Whole Time Directors is either Politically Exposed Person (PEP) or Related to Politically Exposed Person (RPEP). Please provide details as per Annexure 2.2A.										
Any other information										
SMS Alerts Facility	Mobile No.	+	9	1						
Refer to Terms & Conditions given as Annexure 2.4	[(Mandatory , if you are giving Power of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option).									
Transactions Using Secured Texting Facility (TRUST) Refer to Terms and Conditions Annexure - 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST									
	Stock Exchange Name / ID			Clearing Member Name			Clearing Member ID (Optional)			
	To register for easi, please visit our website : www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.									

I/We have received and read the document of 'Rights and Obligations of BO-DP (DP-CM agreement of BSE clearing Member Accounts) including the schedules thereto and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declared that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signature		✓	✗

(Signatures should be preferably in black ink).

(In case of more authorised signatories please add annexure).

RIGHTS AND OBLIGATIONS OF BENEFICIAL OWNER AND DEPOSITORY PARTICIPANT AS PRESCRIBED BY SEBI AND DEPOSITORIES

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI /depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services DematAccounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the



Sole/First Holder Signature



Second Holder Signature



Third Holder Signature

Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze /defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules / Operating Instructions.
23. The DP or the Depository shall have the right to

freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI.
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.



Sole/First Holder Signature



Second Holder Signature



Third Holder Signature

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered/will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability :

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period /indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only one mobile number the mobile number as submitted at the time of registration /modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will

continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration /change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get/ get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account /unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the



Sole/First Holder Signature



Second Holder Signature



Third Holder Signature

depository.

- 7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depositor' shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
- 8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
- 9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to nauthorized access to the information meant for the BO. In consideration of the

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/ We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable)

depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time.

I/ We further undertake to pay fee/ charges as may be levied by the depository from time to time. I/We provident following information for the purpose of Registration/modification. (Please cancel out what is not applicable.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

DP ID	1	2	0	8	2	0	0	0	0	Client ID																											
Sole First / Holder's Name: _____																																					
Second Holder's Name : _____																																					
Third Holder's Name : _____																																					
Mobile No. On which messages are to be sent <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 15px; text-align: center;">+</td> <td style="width: 15px; text-align: center;">9</td> <td style="width: 15px; text-align: center;">1</td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> <td style="width: 15px;"></td> </tr> </table> (Please write only the mobile number without prefixing country code or zero)																			+	9	1																
+	9	1																																			
The Mobile Number is registered in the name of: _____																																					
E-mail Id: _____ (Please write only ONE valid email id on which communication; if any, is to sent)																																					
 _____ Sole/First Holder Signature						 _____ Second Holder Signature						<input checked="" type="checkbox"/> _____ _____ Third Holder Signature																									
IN CASE OF JOINT HOLDERS, ALL JOINT HOLDERS MUST SIGN.																																					
* Please do not use correction fluid, all cutting must be attested by all the joint holder(s).																																					
Place:.....												Date:.....																									

DECLARATION BY HUF

To,

Asnani Stock Broker Pvt. Ltd.

Regd. Office: C-8 & 9, Ankit Plaza, Near Jainani Hospital, Chittorgarh (Raj.) - 312001 Tel No. - 01472-246343 / 244973

Email : dp@asnanionline.com / Website : www.asnanionline.com

Dear Sir,

We, all the coparceners (members) detailed below of the Hindu Undivided Family having PAN NO.....do hereby authorize Karta of the family Mr.....to open/operate in the name of this HUF a dealing /trading, transfer, pledging, dematerializing or rematerializing, orders, execute deals through you for and on behalf of the above named HUF and we state that we are and shall always be jointly and severally responsible for the dealings / orders / trades / transactions and are executed by the Karta Mrand all his orders / deals shall be binding on the HUF and all its members jointly as well as severally.

In the eventuality of change of Karta or change in the constitution of HUF we shall inform you in writing and understand that we are required to collect from you and acknowledgment of having taken the same on record.

The specimen signature of the Karta Mr.....is given below.

Specimen Signature in Full

Thanking you,

Your faithfully,

Name of the Co-Parceners	Sex	Date of Birth	Relationship with the Karta	Signature of the Co-Parceners

FOR TRADING ACCOUNT OPENING FORMAT OF BOARD RESOLUTION IN CASE OF CORPORATES/TRUSTS

NON-MANDATORY

(To be obtained on pre-printed Letterhead of company)

(This Letter is to be obtained only if the client is a body corporate)

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED IN THE MEETING OF THE BOARD OF DIRECTORS OF MEETING HELD ON AT.....THE REGISTERED OFFICE OF TO COMPANY.....

"RESOLVED THAT application be made for opening of Demat & Trading account with **Asnani Stock Broker Pvt. Ltd. at the Registered Office C-8 & 9, Ankit Plaza, Near Jainani Hospital, Chittorgarh (Raj.) -313001**, Central Depository Services (I) Limited (CDSL), Bombay Stock Exchange (BSE)Ltd.

Resolve that approval of the board be and is hereby granted to carry on the business of sell/purchase of shares, commodities etc. As permitted under the other object clause of the company.

Further resolved that Mr.....is here by authorized to inform the same to Roc and do necessary filing here with.

RESOLVED THAT the company / trust be registered as CLIENT with **Asnani Stock Broker Pvt. Ltd.** for the purpose of dealing in equities,derivatives etc. and the said Member be and is hereby authorized to honor instruction oral or written, given on behalf of theCompany/Trust by any of the under noted authorized signatories:-

S.No.	Name	Designation	Specimen Signature

Who are authorized to sell, purchase, transfer, endorse, negotiate and/ or otherwise deal/ through deal through **Asnani Stock Broker Pvt. Ltd.** on behalf of the Company/Trust.

RESOLVED FURTHER THAT, the common seal of the Company is affixed, wherever necessary, in the presence of any Directors or of any one director or any Company Secretary, who shall sign the same in token of their presence.

RESOLVED FURTHER THAT Mr.and / or Mr.....Directors/Trustee of the Company/ Trust be and are hereby authorized to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds as may deemed necessary or expedient to give effect to this resolution.

FURTHER RESOLVED THAT the company do execute power of Attorney(s) for appointing **Asnani Stock Broker Pvt. Ltd.** as the attorney of the company in respect of matters set out in the draft of Power of Attorney (s) having been placed before the board.

That this resolution be communicated to the **Asnani Stock Broker Pvt. Ltd.** and remain in force until notice in writing be given to the Asnani Stock Broker Pvt. Ltd.

For.....

(Director)

Specimen Signature of :

- 1.
- 2.
- 3.

**Option to receive Annual Reports, AGM notice and other communication
from issuer in physical form**

Date: ____/____/____




To,

Asnani Stock Broker Pvt. Ltd.

Regd. Office: C-8 & 9, Ankit Plaza, Near Jainani Hospital,
Chittorgarh (Raj.) - 312001
Tel No. - 01472-246343 / 244973
Email : dp@asnanionline.com
Website : www.asnanionline.com

DP ID	1	2	0	8	2	0	0	0
-------	---	---	---	---	---	---	---	---

Client ID								
-----------	--	--	--	--	--	--	--	--

Sole/First Holder Name		
Second Holder Name		
Third Holder Name		
	(Please tick any one)	
<input type="checkbox"/>	I / We hereby inform you that I/we wish to receive financial statements (i.e. annual reports), AGM notice and other communications from Issuers in physical form	
<input type="checkbox"/>	I / We hereby inform you that I/we had earlier opted to receive the financial statements (i.e. annual reports), AGM notice and other communications from Issuers in physical form and now intend to remove the said option so that I/we can receive the same in electronic form.	
		
Sole/First Holder Signature	Second Holder Signature	Third Holder Signature

SCHEDULE OF DEMAT CHARGES



ASNANI STOCK BROKER PVT. LTD.

DP-CDSL • DP ID - 12082000 SEBI Regn. No.: IN-DP-122-2015
 Regd. Office: C-8 & 9, Ankit Plaza, Near Jainani Hospital, Chittorgarh (Raj.) - 312001
 Tel No. - 01472-246343 / 244973 Email : dp@asnanionline.com / Website : www.asnanionline.com

ACTIVITY	NORMAL DEMAT CHARGES	BSDA DEMAT CHARGES
Statutory Charges at the time of Account opening	Rs. 75/-	Rs. 100/-
(i) Annual Maintenance Charges (Normal Scheme) (ii) Loyalty Plan (For Individuals only)	Rs. 350/- (for Individual) Rs. 2000/- (for Corporate) AMC free if Security Deposit (Refundable) of Rs. 2500/- deposited. (Client should be maintain account for minimum one year or above otherwise AMC will be charged under normal scheme)	AMC free if shares valuation upto 50000 Rs. 100/- (share valuation is 50,001 to 2,00,000/-) When share valuation across 50000 AMC will be charge 100/- and valuation across 2,00,000 account operate as normal A/c.
Dematerialisation	Rs. 20/- per DRF + Rs. 3/- per Cer. * (if quantity is more than 1000 on certificate then Rs. 3/- will be charged per 1000 quantity of shares)	Rs. 75/- per DRF + Rs. 10/- per Cer. * (if quantity is more than 1000 on certificate then Rs. 10/- will be charged per 1000 quantity of shares)
Rematerialisation	Rs. 20/- per certificate* or Rs. 20/- per 100 securities or part quantity whichever is higher	Rs. 75/- per certificate' or Rs. 75/- per 100 securities or part quantity whichever is higher
Transaction Charges		
A. Transaction Charges (Market)	0.02% subject to minimum Rs.20/-	0.05% subject to minimum Rs. 35/-
B. Transaction Charges (Off-Market)	0.02% of value of transactions or minimum Rs. 25/- whichever is higher	0.05% of value of transactions or minimum Rs. 50/- whichever is higher
Custody Charges	Nil	
Creation of Pledge	Rs. 50/- per request of 0.02% of transaction value of securities whichever is higher	Rs. 100/- per request of 0.05% of transaction value of securities whichever is higher
Close of Pledge	Rs. 50/- per request of 0.02% of transaction value of securities whichever is higher	Rs. 100/- per request of 0.05% of transaction value of securities whichever is higher
Invocation of Pledge	Rs. 50/- per request of 0.02% of transaction value of securities whichever is higher	Rs. 100/- per request of 0.05% of transaction value of securities whichever is higher
Instructions for NORMAL DEMAT CHARGES	Instructions for BSDA DEMAT CHARGES	
1. Out of Pocket Expenses ✍ Dematerialisation of shares mailing charges Rs 20/- per mail (local). ✍ Dematerialisation of shares mailing charges Rs 40/- per mail (out station). ✍ In case of demat rejection postage shall be charged @ Rs. 30/- for dispatch of the client for removal of objection. 2. Instruction Booklet : Rs. 30/- (Containing 20 leaves) 3. Non periodic Statement & other communications shall be charged @ Rs 30/- per mail. 4. Rs 25/-for additional Client Master. 5. For weekly and Fort nightly Statement charges extra Rs 10/- per page, Postage / Courier Charges will be extra. 6. Taxes and other govt. levies will be charged extra as applicable for time to time. 7. AMC will be charged in advance and charged quarterly basis. 8. In case Bank mandate for debit through ECS is not given, Minimum credit balance of Rs. 200/- shall be maintained as interest free security. 9. In case of any upward revision is schedule of charges, 30 days notice would be given by publishing in newspaper/post shall treated as sufficient Notice. 10. In case of nonpayment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be Rs. 100- 11. If client give POA to Asnani Stock Broker Pvt. Ltd. then POA charge Rs. 110/-will be applicable (one time charged). 12. Any services not mentioned above will charged extra.	1. Out of Pocket Expenses ✍ Dematerialisation of shares mailing charges Rs. 20/- per mail (local) ✍ Dematerialisation of shares mailing charges Rs. 40/-per mail (out station) ✍ In case of demat rejection postage shall be charged @ Rs. 60/- for dispatch of the client for removal of objection. 2. Instruction Booklet -Rs. 50/--(Containing 20 leaves) 3. Non periodic statement & other communications shall be charged @ Rs. 20/-per mail. 4. Rs 25/-for additional Client Master. 5. For weekly and Fort nightly statement charges extra Rs. 10/- per page, Postage /Courier Charges will be extra. 6. Taxes and other govt. levies will be charged extra as applicable for time to time 7. AMC will be charged in advance and charged quarterly basis. 8. In case of any upward revision is schedule of charges, 30 days notice would be given by publishing in newspaper/post shall treated as sufficient Notice. 9. In case of nonpayment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be Rs 100/-. 10. If client give POA to Asnani Stock Broker Pvt. Ltd. then PDA charge Rs. 110/-will be applicable (one time charged). 11. Any services not mentioned above will charged extra.	



Sole/First Holder Signature



Second Holder Signature



Third Holder Signature



Asnani Stock Broker Pvt. Ltd.

SEBI REGN. No. : IN-DP-122-2015
CDSL DP ID : 12082000
Regd. Office : C-8 & 9, Ankit Plaza, Near Jainani Hospital, Chittorgarh (Raj.) 312001
Phone No. : Tel No. - 01472-246343 / 244973
E-mail : dp@asnanionline.com
Website : www.asnanionline.com

Voluntary Disclosure

To,
Depository Department,
Asnani Stock Broker Private Limited,
C 8&9, Ankit Plaza,
Near Jainani Hospital,
Chittorgarh (Raj) – 312001

Sub: - Account Open under Normal category

Dear Sir,

I am not interested in BSDA Account scheme; kindly open my account under normal scheme.

DP - ID

1	2	0	8	2	0	0	0
----------	----------	----------	----------	----------	----------	----------	----------

CLIENT ID

--	--	--	--	--	--	--	--

PAN No.

--	--	--	--	--	--	--	--	--	--

Name:-.....

Signature:-.....